



#8 N Section 45

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
HORN003

Application Of: **Gerald Horn**

Serial No.	Filing Date	Examiner To Be Assigned	Group Art Unit To Be Assigned
09/705,526	November 3, 2000		

Invention: **METHOD AND COMPOSITION WHICH REDUCES STIMULATION OF MUSCLES WHICH DILATE THE EYE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
January 29, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Return Receipt Postcard

05/10/2001 SHINASS1 00000007 500815 09705526
05 F0:216 195.00 CH

Docket No.
HORN003

In Re Application Of: **Gerald Horn**

TRADE MARK
Serial No.
09/705,526

Filing Date
November 3, 2000

**Examiner
To Be Assigned**

**Group Art Unit
To Be Assigned**

Invention: METHOD AND COMPOSITION WHICH REDUCES STIMULATION OF MUSCLES WHICH DILATE THE EYE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<u>\$355.00</u>
--	-----------------

☐ Design application filing fee _____

☒ Total number of independent claims = **5** **\$80.00**

☒ Total number of claims = **21** **\$9.00**

☐ Multiple dependent claims

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$65.00
---	----------------

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application _____

Total completion of application fees	\$509.00
--------------------------------------	----------

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: **March 29, 2001** until: **May 29, 2001**
Date Date

Total time extension fees	\$195.00
---------------------------	----------

Total fees due \$704.00

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.
HORN003

In Re Application Of: **Gerald Horn**

Serial No.
09/705,526

Filing Date
November 3, 2000

Examiner
To Be Assigned

Group Art Unit
To Be Assigned

Invention: **METHOD AND COMPOSITION WHICH REDUCES STIMULATION OF MUSCLES WHICH DILATE THE EYE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$704.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0815**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0815**
A duplicate copy of this sheet is enclosed.

Signature

Dated: **May 2, 2001**

Karl Bozicevic
Reg. No. 28,807
Bozicevic, Field & Francis LLP
200 Middlefield Road, Suite 200
Menlo Park, California 94025
650-327-3400

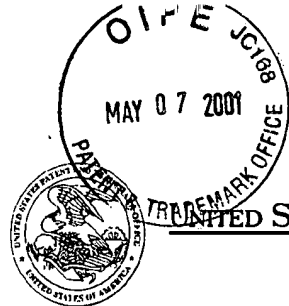
I certify that this document and fee is being deposited on **May 2, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Kimberly W. Zuehlke

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/705,526	11/03/2000	Gerald Horn	HORN-003

Karl Bozicevic
Bozicevic Field & Francis LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025

FORMALITIES LETTER



OC000000005713261

Date Mailed: 01/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/10/2001 SNINASS1 00000007 500815 09705526

01 FC:201 355.00 CH
02 FC:202 80.00 CH
03 FC:203 9.00 CH
04 FC:205 65.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$178.
 - \$18 for 1 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1018.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE